

# TAB I

# PART 4


**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.
--------------------	-------------	-----------------------	---------------------

08/918,582	08/19/97	LEIGHTON	K 60142
------------	----------	----------	---------

 MARK A WATKINS  
OLDHAM & OLDHAM CO  
1225 WEST MARKET STREET  
AKRON OH 44313-7188

7541/1104

EXAMINER

SHERR, D

ART UNIT

PAPER NUMBER

2876

12

DATE MAILED: 11/04/99

**Response to Rule 312  
Communication**

- ☐ The petition filed on \_\_\_\_\_ under 37 CFR 1.312(b) is granted. The paper has been forwarded to the examiner for consideration on the merits.

 \_\_\_\_\_  
Director,  
Patent Examining Group \_\_\_\_\_

- ☒ The amendment filed on 6-17-99 under 37 CFR 1.312 has been considered, and has been:

☒ entered.

☐ entered as directed to matters of form not affecting the scope of the invention (Order 3311).

☐ disapproved. See explanation below.

☐ entered in part. See explanation below.



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JUN 29 1999  
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02

Please type a plus sign (+) inside this box → ☒

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PTO/SB/21 (6-98)  
Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application Number	08/918,582
		Filing Date	August 19, 1997
		First Named Inventor	Keith R. Leighton
		Group Art Unit	2876
		Examiner Name	Sherr, D.
Total Number of Pages in This Submission	5	Attorney Docket Number	6014-2

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 2px; margin-top: 5px;">RETURN CARD</div>
Remarks 4 PAGES OF FORMAL DRAWINGS AFTER THE NOTICE OF ALLOWANCE BEFORE PAYMENT OF THE BASE ISSUE FEE		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Mark A. Watkins
Signature	<i>Mark A. Watkins</i>
Date	June 17, 1999

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 06/18/1999	
Typed or printed name	Mark A. Watkins
Signature	<i>Mark A. Watkins</i>
Date	June 17, 1999

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6036099

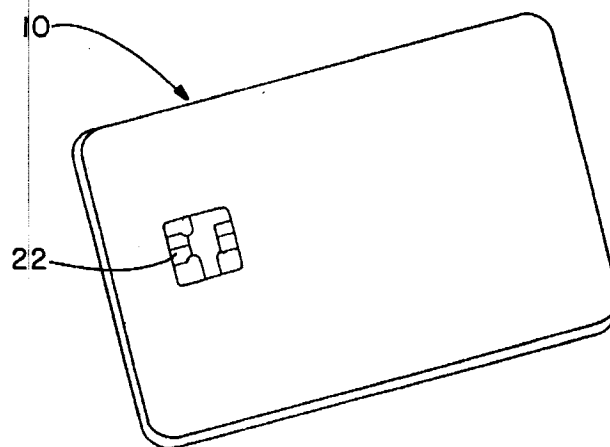


FIG. - 1

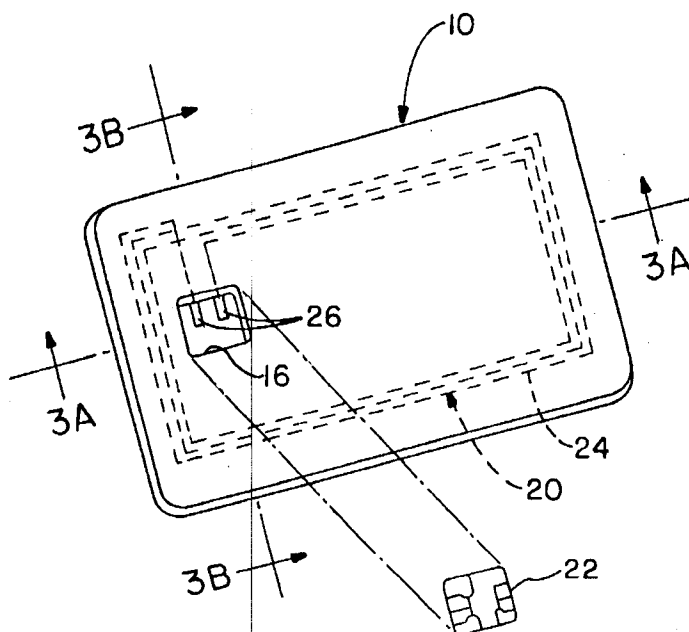


FIG. - 2

FIG.-2A

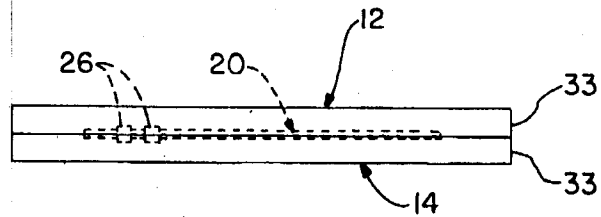


FIG.-3A

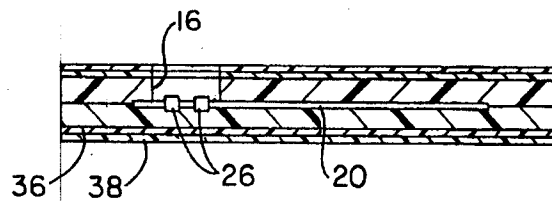


FIG.-3B

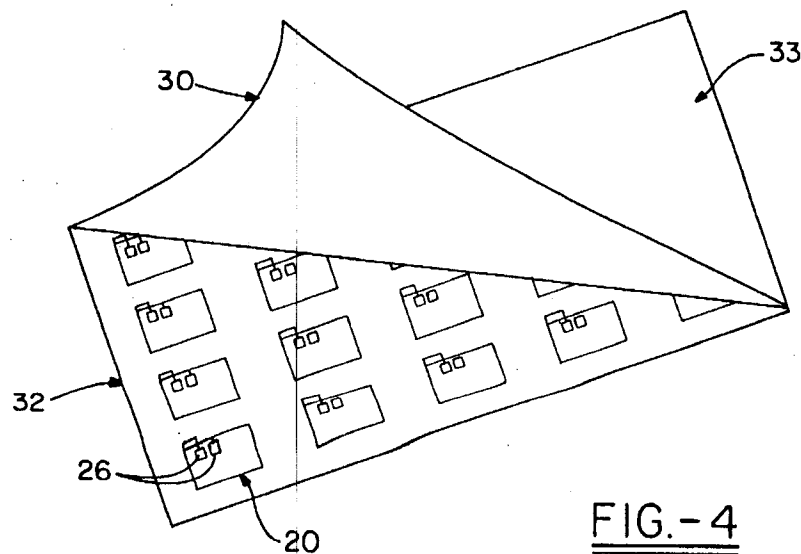
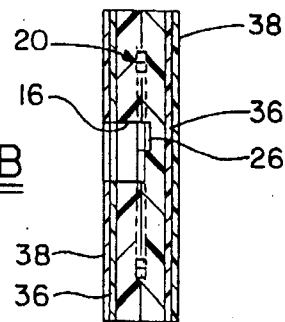
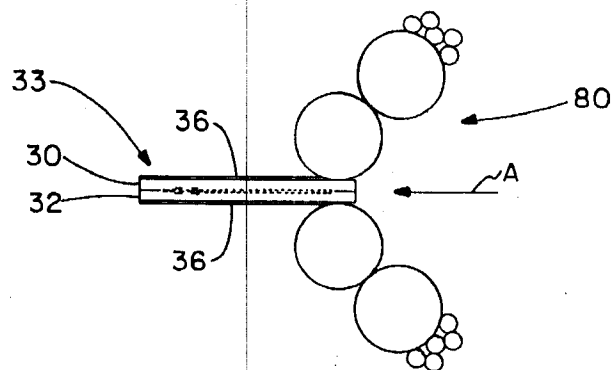
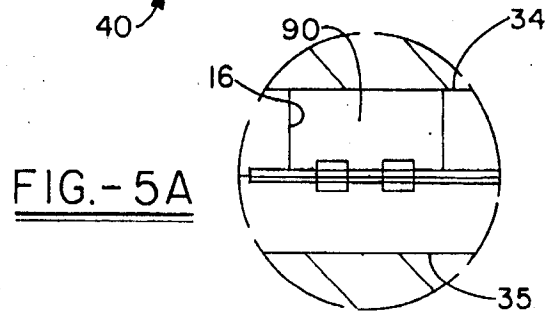
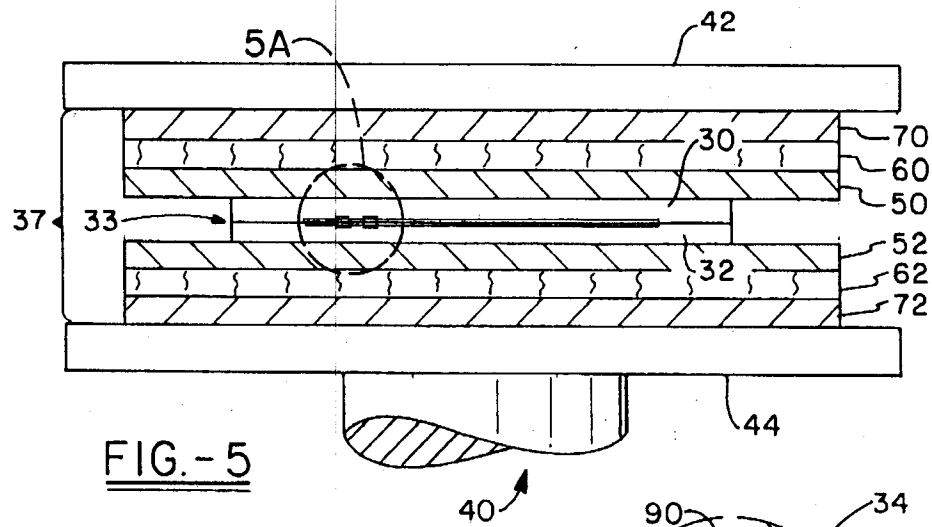
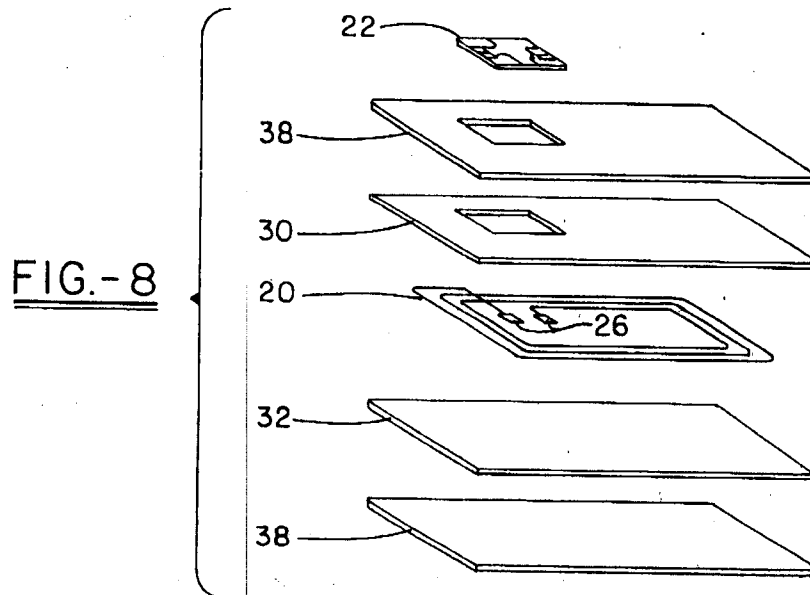
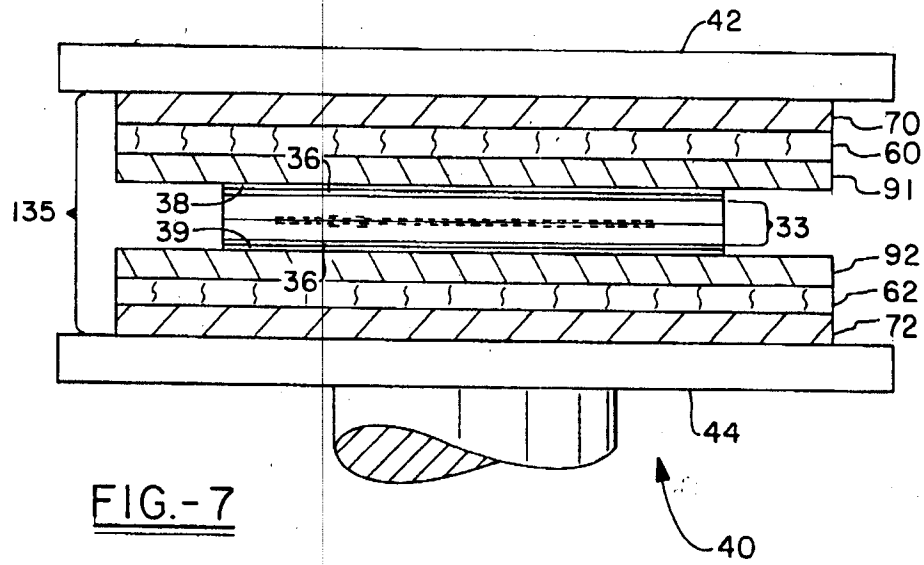


FIG.-4



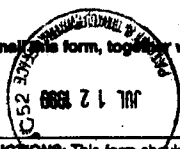


## PART B - ISSUE FEE TRANSMITTAL

APR 15 1999

Complete and mail this form, together with

the fee, to: Box ISSUE FEE  
Assistant Commissioner for Patents  
Washington, D.C. 20231



**MAILING INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE. Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Issue Fee Receipt, the Patent, advance order and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

Note: The certificate of mailing below can only be used for domestic mailings of the Issue Fee Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing.

## Certificate of Mailing

I hereby certify that this Issue Fee Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above on the date indicated below.

Mark A. Watkins

(Depositor's name)

(Signature)

July 8, 1999

(Date)

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

MM51/0412

MARK A. WATKINS  
OLDHAM & OLDHAM CO  
1225 WEST MARKET STREET  
AKRON OH 44313-7188

APPLICATION NO.	FILING DATE	TOTAL CLAIMS	EXAMINER AND GROUP ART UNIT	DATE MAILED
08/918,582	08/19/97	018	SHEPHER, D	2876 04/12/99
First Named Applicant	LEIGHTON,	35 USC 154(b) term ext. =	0 Days.	

## TITLE OF INVENTION

HOT LAMINATION PROCESS FOR THE MANUFACTURE OF A COMBINATION  
CONTACT/CONTACTLESS SMART CARD AND PRODUCT RESULTING THEREFROM  
(AS AMENDED)

ATTYS DOCKET NO.	CLASS-SUBCLASS	BATCH NO.	APPLN. TYPE	SMALL ENTITY	FEE DUE	DATE DUE
2 60142	235-488.000	R59	UTILITY	YES	\$605.00	07/12/99

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  
Use of PTO form(s) and Customer Number are recommended, but not required.

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" indication form PTO/SB/47) attached.

2. For printing on the patent front page, list  
(1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Oldham &amp; Oldham

2 Co., L.P.A.

3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)  
PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the PTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY &amp; STATE OR COUNTRY)

Please check the appropriate assignee category indicated below (will not be printed on the patent)

☐ Individual ☐ corporation or other private group entity ☐ government

4a. The following fees are enclosed (make check payable to Commissioner of Patents and Trademarks):

☒ Issue Fee☒ Advance Order - # of Copies 10

4b. The following fee or deficiency in these fees should be charged to:

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The COMMISSIONER OF PATENTS AND TRADEMARKS IS requested to apply the Issue Fee to the application identified above.

(Authorized Signature)

(Date)

July 8, 1999

NOTE: The Issue Fee will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the Patent and Trademark Office.

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AUG 09 1999  
PATENT & TRADEMARK OFFICE

Practitioner's Docket No. 6014-2-CON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Leighton, Keith R.

Application No.: 08/918,582 Group No.: 2876  
Filed: August 19, 1997 Examiner: SHERR, D.

For: Hot Lamination Process for the Manufacture of a Combination Contact/Contactless Smart Card and Product Resulting Therefrom

Assistant Commissioner for Patents  
Washington D.C. 20231

NOTIFICATION OF FILING OF CONTINUING, DIVISIONAL  
OR CONTINUED PROSECUTION APPLICATION

Notification is hereby being made of the filing of a continuation application for this case concurrently herewith.

*Mark A. Watkins*  
SIGNATURE OF PRACTITIONER

Reg. No. 33,813  
Tel. No.: (330) 864-5550

Customer No.: 021324

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Mark A. Watkins  
Oldham & Oldham  
1225 W. Market St.  
Akron, OH 44313 USA

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CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10\*

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Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

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37 C.F.R. 1.8(a)

■ with sufficient postage as first class mail.

Date: Aug 5, 1999

Signature

Mark A. Watkins

(type or print name of person certifying)

(Notification of Filing of Continuing, Divisional or Continued Prosecution Application)



Patentitioner's Docket No. 6014-2

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Leighton

Patent No.: 6,036,099

Issued: March 14, 2000

For: Hot Lamination Process for the Manufacture of a Combination Contact/Contactless Smart Card and Product Resulting Therefrom

Assistant Commissioner for Patents  
Washington D.C. 20231

CERTIFICATE

APR 02 2002

OF CORRECTION

TRANSMITTAL

Transmitted herewith:

- 1) Request for Certificate of Correction of Patent for Applicant's Mistake
- 2) Two (2) Certificates of Correction
- 3) A check in the amount of \$100.00
- 4) ACKNOWLEDGEMENT OF RECEIPT/RETURN CARD

Please charge Account No. 15-0450 for any deficient fees due for the filing of this paper.

Respectfully submitted,

Michael H. Minns  
Reg. No. 31,985

CERTIFICATE OF MAILING

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March 15, 2002  
Date

  
Michael H. Minns



Practitioner's Docket No. 6014-2

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Number: 6,036,099  
Issued: March 14, 2000  
Name of Patentee: Keith R. Leighton  
Title of Invention: HOT LAMINATION PROCESS FOR THE MANUFACTURE OF A COMBINATION CONTACT/CONTACTLESS SMART CARD AND PRODUCT RESULTING THEREFROM

Assistant Commissioner for Patents  
Washington, D.C. 20231

ATTENTION: Decision and Certificate of Correction  
Branch of the Patent Issue Division

REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT  
FOR APPLICANT'S MISTAKE (37 C.F.R. section 1.323)

1. It is noted that an error appears in this patent of a clerical nature or character, as more fully described below. It occurred in good faith. Correction thereof does not involve such changes in the patent as would constitute new matter or would require re-examination. A certificate of correction is requested.

Applicant is correcting two inadvertently omitted cross-references to copending provisional patent applications as permitted by MPEP 1471. The present application met the requirements of 37 CFR §1.78(a)(3) when it was filed. The provisional application, serial no. 60/005,685, was filed October 17, 1995 which claims the benefit of provisional application, serial no. 60/024,255, which was filed August 21, 1996.

2. Attached hereto, in duplicate, is Form PTO-1050, with at least one copy being suitable for printing.
3. The exact page and line number where the error occurs in the application file are:

in column 1, line 7, after 1996, add --, which claimed the benefit of provisional application serial no. 60/005,685, filed on October 17, 1995. This application claims the benefit of provisional application serial no. 60/024,255, filed August 21, 1996--

4. Please send the Certificate to:

Michael H. Minns  
Hahn Loeser & Parks LLP  
Twin Oaks Estate  
1225 West Market Street  
Akron, OH 44313

5. Please pay the fee of \$100.00, as required by 37 C.F.R. section 1.20(a), as follows:

Enclosed is a check for \$100.00.

Date: March 15, 2002

Reg. No.: 31,985  
Tel. No.: 330-864-5550  
Customer No.: 021324

  
Signature of Practitioner

Michael H. Minns  
Hahn Loeser & Parks LLP  
Twin Oaks Estate  
1225 West Market Street  
Akron, OH 44313

03/E7/2002 HAHNLOESER 000000021 6036099

01 FC:145

100.00 DP

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 6,036,099  
DATED : March 14, 2000  
INVENTOR(S) : Keith R. Leighton

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 1.

Line 7, replace "Oct. 7, 1996" with -- Oct. 7, 1996, which claimed the benefit of provisional application serial no 60/005,685, filed on October 17, 1995, filed on October 17, 1995. This application claims the benefit of provisional application serial no. 60/024,255, filed August 21, 1996 --.

Signed and Sealed this

Twenty-fourth Day of September, 2002

Attest:



Attesting Officer

JAMES E. ROGAN  
Director of the United States Patent and Trademark Office

PTO/SB/44 (02-01)

Approved for use through 01/31/2004. OMB 0651-0033

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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(Also Form PTO-1050)

### UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO : 6,036,099  
DATED : March 14, 2000  
INVENTOR(S) : Keith R. Leighton

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Col. 1, line 7  
replace "Oct. 7, 1996"

with --Oct. 7, 1996, which claimed the benefit of provisional application serial no. 60/005,685, filed on October 17, 1995, filed on October 17, 1995. This application claims the benefit of

provisional application serial no. 60/024,255, filed August 21, 1996--.

MAILING ADDRESS OF SENDER:

Michael H. Minns  
Hahn Loeser & Parks LLP  
Twin Oaks Estate  
1225 West Market Street  
Akron, OH 44313

PATENT NO. 6,036,099

No. of additional copies

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PTO/SB/44 (02-01)

Approved for use through 01/31/2004. OMB 0851-0033

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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(Also Form PTO-1030)

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

PATENT NO : 6,036,099  
DATED : March 14, 2000  
INVENTOR(S) : Keith R. Leighton

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Col. 1, line 7  
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with --Oct. 7, 1996, which claimed the benefit of provisional application serial no. 60/005,685, filed on October 17, 1995, filed on October 17, 1995. This application claims the benefit of

provisional application serial no. 60/024,255, filed August 21, 1996--.

**MAILING ADDRESS OF SENDER:**

Michael H. Minns  
Hahn Loeser & Parks LLP  
Twin Oaks Estate  
1225 West Market Street  
Akron, OH 44313

PATENT NO 6,036,099

No. of additional copies

⇒ 1

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## NOTICE RE: CERTIFICATES OF CORRECTION

DATE : 8/2/02Paper No.: 16TO : Supervisor, Art Unit 2876SUBJECT : Certificate of Correction Request in Patent No.: 6,036,099

A response to the following question is requested with respect to the accompanying request for a certificate of correction.

With respect to the change(s) requested, correcting Office and/or Applicant's errors, should the patent read as shown in the certificate of correction? No new matter should be introduced, nor should the scope or meaning of the claims be changed.

*Should 1050 be granted on the provisions*

PLEASE COMPLETE THIS FORM AND  
RETURN WITH FILE, WITHIN 7 DAYS,

TO CERTIFICATES OF CORRECTION BRANCH - PK 3-915/922  
PALM LOCATION 7580 - TEL. NO. 305-8309

*May 2, 2002*

THANK YOU FOR YOUR ASSISTANCE!

Note your decision by placing a check mark in the appropriate box below, indicating whether all changes requested in the Request for Certificate of Correction should be applied. Please specify which changes should not be applied and indicate the reason(s) for denial, in the "Comments" section below.

☒ YES ☐ NO

☒ Comments: All changes requested in the request for certificate  
of correction should be applied.

*[Signature]*  
Supervisor

2876

Art Unit

PTO/SB/44 (02-01)

Approved for use through 01/31/2004. OMB 0651-0033

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.  
(Also Form PTO-1050)

### UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO : 6,036,099  
DATED : March 14, 2000  
INVENTOR(S) : Keith R. Leighton

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Col. 1, line 7  
replace "Oct. 7, 1996"

with --Oct. 7, 1996, which claimed the benefit of provisional application serial no. 60/005,685, filed on October 17, 1995, filed on October 17, 1995. This application claims the benefit of

provisional application serial no. 60/024,255, filed August 21, 1996--.

MAILING ADDRESS OF SENDER:

Michael H. Minns  
Hahn Loeser & Parks LLP  
Twin Oaks Estate  
1225 West Market Street  
Akron, OH 44313

PATENT NO. 6,036,099

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PATENT APPLICATION FEE DETERMINATION RECORD Effective October 1, 1996					Application or Docket Number <span style="font-size: 1.2em;">918582</span>	
<b>CLAIMS AS FILED - PART I</b>						
(Column 1)		(Column 2)		SMALL ENTITY		OR
FOR	NUMBER FILED	NUMBER EXTRA		RATE	FEE	
BASIC FEE					385.00	
TOTAL CLAIMS	<span style="font-size: 1.2em;">24</span>	minus 20 =	<span style="font-size: 1.2em;">4</span>	x\$11=	<span style="font-size: 1.2em;">44</span>	
INDEPENDENT CLAIMS	<span style="font-size: 1.2em;">3</span>	minus 3 =		x40=		
MULTIPLE DEPENDENT CLAIM PRESENT				+130=		
If the difference in column 1 is less than zero, enter "0" in column 2				TOTAL	<span style="font-size: 1.2em;">429</span>	
<b>CLAIMS AS AMENDED - PART II</b>						
(Column 1)		(Column 2)		SMALL ENTITY		OR
AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE	
Total	<span style="font-size: 1.2em;">19</span>	Minus	<span style="font-size: 1.2em;">24</span>	x\$11=		
Independent	<span style="font-size: 1.2em;">2</span>	Minus	<span style="font-size: 1.2em;">3</span>	x40=		
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				+130=		
				TOTAL ADDIT. FEE		
(Column 1)		(Column 2)		SMALL ENTITY		OR
AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE	
Total	<span style="font-size: 1.2em;">24</span>	Minus	<span style="font-size: 1.2em;">24</span>	x\$11=		
Independent	<span style="font-size: 1.2em;">3</span>	Minus	<span style="font-size: 1.2em;">3</span>	x40=		
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				+130=		
				TOTAL ADDIT. FEE		
(Column 1)		(Column 2)		SMALL ENTITY		OR
AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE	
Total		Minus		x\$11=		
Independent		Minus		x40=		
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				+130=		
				TOTAL ADDIT. FEE		

If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  
 If the Highest Number Previously Paid For in THIS SPACE is less than 20, enter "20."  
 If the Highest Number Previously Paid For in THIS SPACE is less than 3, enter "3."  
 If the Highest Number Previously Paid For (Total or Independent) is the highest number found in the appropriate box in column 1.

[illegible]